## Re-Pair Achieves High-Order Entropy

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Re-Pair is a dictionary-based compression method invented in 1999 by J. Larsson and A. Moffat [Off-line dictionary-based compression. *Proc. IEEE*, 88(11):1722–1732, 2000], lacking up to now an efficiency analysis. We show that Re-Pair compresses a sequence T[1, n] over an alphabet of size  $\sigma$  to at most  $2nH_k + o(n \log \sigma)$  bits, for any  $k = o(\log_{\sigma} n)$ , where  $H_k$  is either the classical information-theory or the empirical k-th order entropy (in the latter, the model is inferred from the sequence statistics).

Re-Pair repeatedly finds the most frequent pair ab of symbols in the sequence and replaces its occurrences by a new symbol A, adding a rule  $A \to ab$  to a dictionary, until every pair appears only once. Re-Pair can be implemented in linear time and space, and it decompresses very fast. At an arbitrary step d, the current sequence  $C = c_1 c_2 \dots c_p$  mixes original and newly created symbols, while the dictionary contains exactly d rules. In the beginning, C = T, p = n and d = 0. At each step, d grows by 1 and p decreases at least by 2. We point out that Re-Pair compression has the following properties at any step:  $\sigma + d \le n$ ; the size of the compressed data p + 2d integers does not increase; the frequency of the most common pair does not increase; the same text cannot be represented with the same number of rules in distinct ways, i.e., if expand(XY) = expand(ZW) then X = Z and Y = W.

**Theorem 1** The Re-Pair compression algorithm outputs at most  $2nH_k(T) + o(n \log \sigma)$  bits for any  $k = o(\log_{\sigma} n)$  (so  $\log \sigma = o(\log n)$  must hold to achieve k > 0).

Proof. We study p + 2d when the most frequent pair occurs at most  $b = \log^2 n$  times. This is achieved in at most n/(b+1) steps, hence  $2d\lceil \log n \rceil < 2(n/b)(\log(n)+1) = O(n/\log n) = o(n)$ . Consider the parsing  $expand(c_1c_2)$ ,  $expand(c_3c_4)$ , ... of  $t = \lceil p/2 \rceil$  strings that do not appear more than b times. R. Kosaraju and G. Manzini [Compression of low entropy strings with Lempel-Ziv algorithms. SIAM Journal on Computing, 29(3):893–911, 1999] showed that in such a case  $t \log t \leq nH_k(T) + t \log(n/t) + t \log b + \Theta(t(1+k\log\sigma))$ . Further algebra, especially when  $t\lceil \log n \rceil > n/\log n$ , gives the bound for  $t\lceil \log n \rceil$ .

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